

Sailer Financial, LLC. is committed to providing our clients with the highest level of service and advice regarding their financial assets. As part of this commitment, we have developed a Business Continuity Plan which outlines our disaster recovery and contingency plans. By nature, the timing and impact of disruptions is unpredictable. Therefore, we will have to remain flexible in responding to events as they occur. Each employee at Sailer Financial is familiar with the Disaster Recovery and Contingency Plans outlined in our internal Compliance and Procedures Manual. Our Business Continuity Plan is designed to inform our clients of the key components and how to reach us in the event of disruption.

How To Contact Us

If a significant business disruption takes place and you cannot reach us via phone at (615) 370-1253 or (800) 409-8950 or email at info@sailerfinancial.com, please go to our website at www.sailerfinancial.com for additional instructions on contacting us. If you are still unable to reach us and you need information regarding your accounts, please contact the account custodian (Fidelity, John Hancock, MetLife, Securities Service Network, etc.) as noted on your monthly or quarterly statement.

Business Continuity Plan

Our goal is to quickly recover from varying levels of disasters and/or emergencies by first securing our employees and physical property, recovering and protecting our records, and enabling our clients to transact business in a timely manner. Once we have made an assessment as to the severity of the situation, we would move to resume operations as quickly as possible.

Our critical data is housed in two remote server locations which are accessible by us via a secure internet "tunnel". The physical locations of these servers are housed within restricted-access environments requiring multiple levels of physical security. The data itself is encrypted and can only be accessed using complex passwords which are frequently changed. Additionally, all data is backed up on a daily basis and can be restored in a matter of hours.

This remote server environment will allow us to quickly resume business operations should our physical office location become inaccessible.

In addition, important account records are maintained and backed-up in geographically separate areas by our broker-dealer Securities Service Network and our primary Registered Investment Advisor (RIA) custodian, Fidelity Institutional Wealth Services.

Business Continuity Planning

Our employees are our most valuable resources. We make every effort to protect them from harm at the workplace and to retain them. Additionally, Sailer Financial has plans in place to maintain its operations and financial strength in the event of an untimely death or disability for one of the firm's principals. Our Disaster Recovery and Contingency Plans are reviewed annually to account for business or regulatory changes, technology, operations, and personnel. The plans are subject to ongoing modification and upgrading by Sailer Financial, LLC.